



3627
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:) Group Art Unit: 3627
Katz, et al.)
Examiner: McClellan, James S.)

Serial No.: 09/691392

Filed: 17 October 2000

Atty. File No.: PAT-009C

For: METHODS AND APPARATUS FOR INTELLIGENT SELECTION OF GOODS AND SERVICES
IN TELEPHONIC AND ELECTRONIC COMMERCE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner.

☒ Copies of the cited foreign patents and/or U.S. patents and/or non-patent references are enclosed herewith.

☐ Copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed in accordance with the waiver dated July 11, 2003, whereby patent applications filed after June 30, 2003 and international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003 need not submit copies of the U.S. patents and U.S. patent application publications.

☐ Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____ filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

To the best of the applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

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(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

13 August 2004
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Michele A. Zarinelli
Michele A. Zarinelli

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Serial No. _____ filed _____ (Atty. Dckt. No. _____)
 Serial No. _____ filed _____ (Atty. Dckt. No. _____)
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☒ Examiner's attention is directed to the following co-pending application(s), to which the current application claims priority, copies of at least the claims for such pending application are provided or have been provided:

Serial No. 09/505,691 filed 16 February 2000 (Atty. Dckt. No. PAT-009B)
 Serial No. 09/038,399 filed 11 March 1998 (Atty. Dckt. No. PAT-009)
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It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.



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FEES

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☐ The undersigned certifies that:

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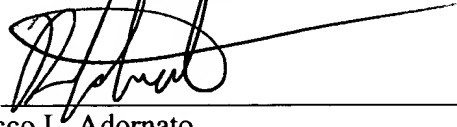
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Respectfully submitted,
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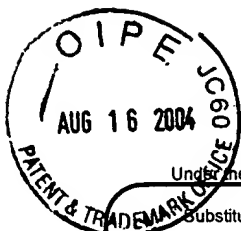
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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 6317718 B1	11-03-2001	Fano, Andrew E.	
	2	US- 6298331 B1	10-02-2001	Walker, Jay S., et al.	
	3	US- 6330548 B1	12-11-2001	Walker, Jay S., et al.	
	4	US- 6321221 B1	11-20-2001	Bieganski, Paul	
	5	US- 6317723 B1	11-13-2001	Walker, Jay S., et al.	
	6	US- 6397193 B1	05-28-2002	Walker, Jay S., et al.	
	7	US- 6369840 B1	04-09-2002	Barnett, Theodore H., et al.	
	8	US- 6434231 B2	08-13-2002	Neyman, Igor, et al.	
	9	US- 6405174 B1	06-11-2002	Walker, Jay S., et al.	
	10	US- 6336104 B1	01-01-2002	Walker, Jay S., et al.	
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	12	US- 6334112 B1	12-25-2001	Walker, Jay S., et al.	
	13	US- 5790935	08-04-1998	Payton, David W.	
	14	US- 5749081	05-05-1998	Whiteis, David E.	
	15	US- 5774670	06-30-1198	Montulli, Lou	
	16	US- 5748907	05-05-1998	Crane, Harold E.	
	17	US- 5748908	05-05-1998	Yu, Mason K.	
	18	US- 5790793	08-04-1998	Higley, Thomas	
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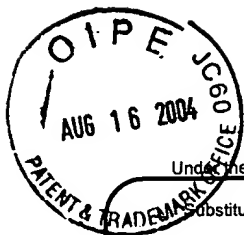
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	75	WO 99/66438	12-23-1999	Walker, Jay S., et al.		
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	78	WO 99/11006	03-04-1999	Van Luchene, Andrew		
	79	WO 99/11007	03-04-1999	Walker, Jay S., et al.		
	80	WO 99/19809	04-22-1999	Walker, Jay S., et al.		

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		Number-Kind Code ² (if known)			
	20	US- 2002/0091632 A1	07-11-2002	Turock, David L., et al.	
	21	US- 5231571	07-27-1993	D'Agostino, Richard J.	
	22	US- 4992940	02-12-1991	Dworkin, Ross E.	
	23	US- 4947028	08-07-1990	Gorog, Jonathan M.	
	24	US- 6223163 B1	04-24-2001	Van Luchene, Andrew S.	
	25	US- 2002/0077960 A1	06-20-2002	Kiely, Neil J., et al.	
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	27	US- 5742929	04-21-1998	Kallman, Robert, et al.	
	28	US- 5740549	04-14-1998	Reilly, James P., et al.	
	29	US- 5727163	03-10-1998	Bezos, Jeffrey P.	
	30	US- 5319542	06-07-1994	King, Jr, John E., et al.	
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	33	US- 5235509	08-10-1993	Mueller, Raymond J., et al.	
	34	US- 5745681	04-28-1998	Levine, Fredrick E., et al.	
	35	US- 5870724	02-09-1999	Lawlor, Matthew P., et al.	
	36	US- 6161103	12-12-2000	Rauer, Allon, et al.	
	37	US- 6167383	12-26-2000	Henson, Ken	
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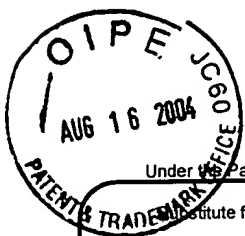
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	81	EP 0751471 A1	01-02-1997	Lashkari, Yezdezard Z., et al.		
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	83	WO 99/10794	03-04-1999	Walker, Jay S., et al.		
	84	WO 98/44699	10-08-1998	Bondarenko, Oleg, et al.		
	85	GB 2336925 A	02-05-1999	Ogasawara, Nobuo		
	86	WO 00/00916	01-06-2000	Solomon, Robert S.		

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		Number-Kind Code ² (if known)			
	39	US- 6185558 B1	02-06-2001	Bowman, Dwayne, et al.	
	40	US- 6085164	07-04-2000	Smith, Barry C., et al.	
	41	US- 6266649 B1	07-24-2001	Linden, Gregory D., et al.	
	42	US- 6198739 B1	03-06-2001	Neyman, Igor, et al.	
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	53	US- 6064980	05-16-2000	Joacobi, Jennifer A., et al.	
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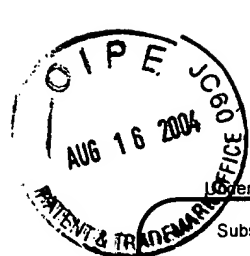
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	87	WO 98/40832	09-17-1998	Chislenko, Alexander, et al.		
	88	EP 0827063 B1	11-13-2002	Jorna, Gerardus C., et al.		
	89	WO 00/21005	04-13-2000	Walker, Jay S., et al.		
	90	WO 00/33222	06-08-2000	Williams, Humphrey N.S., et al.		
	91	WO 01/93119 A1	12-06-2001	Urpani, David		
	92	WO 01/37193 A1	05-25-2001	Sarwar, Badrul, M., et al.		

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		Number-Kind Code ² (if known)			
	58	US- 5794209	08-11-1998	Agrawal, Rakesh, et al.	
	59	US- 2002/0188511 A1	12-12-2002	Johnson, Christopher, et al.	
	60	US- 6337904 B1	01-08-2002	Gisby, Doug	
	61	US- 5490060	02-06-1996	Malec, John, et al.	
	62	US- 5481294	01-02-1996	Thomas, William, et al.	
	63	US- 6081788	06-27-2000	Appleman, Kenneth, et al.	
	64	US- 5937390	08-10-1999	Hyodo, Yoshii	
	65	US- 5740035	04-14-1998	Cohen, Gerald B., et al.	
	66	US- 6256614 B1	07-03-2001	Wecker, Jeff H., et al.	
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	68	US- 6332126 B1	12-18-2001	Peirce, Roger L, et al.	
	69	US- 6128663	10-03-2000	Thomas, C. D.	
	70	US- 6266668 B1	07-24-2001	Vanderveldt, Ingrid V., et al.	
	71	US- 6026370	02-15-2000	Jermyn, Michael G.	
	72	US- 5740252	04-14-1998	Minor, Halsey M., et al.	
	73	US- 6298348 B1	10-02-2001	Eldering, Charles A.	
	74	US- 6236978 B1	05-22-2001	Tuzhilin, Alexander S.	
	94	US- 5999914	12-07-1999	Blinn, Arnold, et al.	
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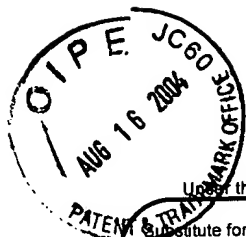
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	93	WO 02/25909 A2	03-28-2002	Haughwout, James Paul		
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		Application Number	09/691,392		
		Filing Date	17 October 2000		
		First Named Inventor	Katz, et al.		
		Art Unit	3627		
		Examiner Name	McClellan, James S.		
Sheet	6	of	9	Attorney Docket Number	PAT-009C

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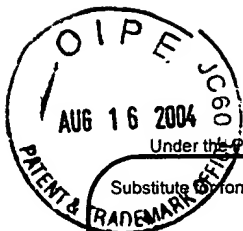
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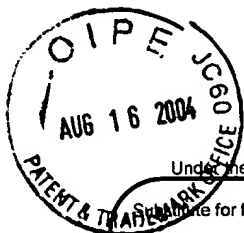
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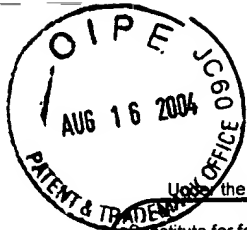
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